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CONFIRMATION NO. 4927

SERIAL NUMBER 09/852,599	FILING OR 371(c) DATE 05/10/2001 RULE	CLASS 705	GROUP ART UNIT 3692	ATTORNEY DOCKET NO. 006878-112200
APPLICANTS Zachariah Cobrinik, New York, NY; Edward Logan, New York, NY; Andrew Walter, New York, NY;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS ***** <i>CG</i>				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/06/2001				
Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and <i>[Signature]</i> <i>CG</i> Acknowledged Examiner's Signature Initials		STATE OR COUNTRY NY	SHEETS DRAWING 5	TOTAL CLAIMS 26
INDEPENDENT CLAIMS 14				
ADDRESS 32361				
TITLE Methods and systems for managing investments in complex financial investments				
FILING FEE RECEIVED 1828	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



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CONFIRMATION NO. 4927

SERIAL NUMBER 09/852,599	FILING DATE 05/10/2001 RULE	CLASS 705	GROUP ART UNIT 2181	ATTORNEY DOCKET NO. 7058.031	
APPLICANTS Zachariah Cobrinik, New York, NY; Edward Logan, New York, NY; Andrew Walter, New York, NY; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/08/2001					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged <u>Examiner's Signature</u> <u>Initials</u>		STATE OR COUNTRY NY	SHEETS DRAWING 5	TOTAL CLAIMS 26	INDEPENDENT CLAIMS 14
ADDRESS Barry J. Schindler Dreier & Baritz LLP. 499 Park Avenue New York, NY 10022					
TITLE Methods and systems for managing investments in complex financial investments					
FILING FEE RECEIVED 1828	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees		
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			<input type="checkbox"/> Other _____		
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